

Wealthtrac Portfolio Service In-specie Transfer form

When to use this form?

Use this form to complete an in-specie transfer of managed investment units.

Questions?

Call Wealthtrac Client Services on 1300 552 477

Who should use this form:

- Investors who are transferring managed investment units that they hold through a wrap account or IDPS into the Wealthtrac Portfolio Service;
- Investors who are directly invested (ie. not invested through a wrap account or IDPS) in a retail or wholesale managed fund and wish to transfer those units into the Wealthtrac Portfolio Service.

IMPORTANT NOTES

1. We will only accept transfers that result in no change in beneficial ownership of the managed investment units.
2. Only managed funds that are currently available on the Wealthtrac Portfolio Service Investment Authority will be able to be transferred in-specie to the Wealthtrac Portfolio Service.
3. Unless there is sufficient cash included with the transfer, all transfers must be accompanied by a cheque to cover the standard Cash Account requirement (typically this is the greater of \$300 or 3% of the value of the transfer) and transfer costs (\$66 per managed fund).
4. It is important that you also attach a completed Wealthtrac In-specie Transfer Checklist. Investors who are directly invested (ie. not invested through a wrap account or IDPS) must also attach a completed **Australian Standard Transfer form**. These checklists list the requirements that must be met before we can accept an in-specie transfer.

PLEASE COMPLETE FORM IN BLOCK CAPITALS USING DARK INK.

Step 1. Your account details (compulsory)

Account number

Account name

Insert your name if you are an individual investor, the name of one owner in the case of joint owners or the name of the investing entity in the case of a partnership, company, fund or trustee.

Step 4. Transfer of investments – (compulsory)

- In signing, I/we acknowledge that I/we have received the current Information Brochure and the current disclosure documents for the investment portfolios that I/we have selected.
- I/we also agree to the charges specified in this form.
- I/we also acknowledge that neither Oasis Fund Management Limited, Wealthtrac Pty Ltd, nor any of the managers of the investment portfolios offered, guarantee repayment of capital or any particular rate of return.

Name of first Signatory

Signature of Investor 1, company representative or financial adviser (where authorisation provided)

Date

Name of second Signatory

Signature of Investor 2, company representative or financial adviser (where authorisation provided)

Date

Note: The transfer of managed investment units through the in-specie process is complicated. Also, the speed of the overall process is dependent on third parties, particularly the existing IDPS operator, the Offices of State Revenue, fund managers and custodians. Therefore, considering all these factors, an in-specie transfer will normally take between 25 to 30 business days.

Insert Company seal if required.

Step 5. ADVISER USE ONLY

Adviser name

Adviser code (if known)

Phone (work)

Signature of Adviser

Date

Deferred fee / Option 2 (\$10,000 minimum): 1.1% 2.2% 3.3% 4.4%

NOTE: Charges specified in this section will only apply to this transfer and not to future investments. If no charges are specified, a nil charge will apply.

Step 6. Postage details (compulsory)

PLEASE POST THIS FORM.

Postal address:

Wealthtrac Portfolio Service,
Locked Bag 1000,
Wollongong NSW 2500.