



**20/20 Investment Services Pty Ltd**  
 ACN 069 774 456  
 Level 6, 118-120 Pacific Highway  
 St Leonards NSW 2065  
 Tel: (612) 9966 5020  
 Fax: (612) 9966 8006  
 Dealers Licence No. 160288

**CLIENT APPLICATION**

Execution & Settlement Through **ETRADE AUSTRALIA SECURITIES LIMITED** ACN 078 174 973  
 A Member of the Australian Stock Exchange and Licensed Dealer in Securities

**1. Type of Account:**

(Please <input checked="" type="checkbox"/> one <input type="checkbox"/> only)	Explanation:	Correct CHESS Registered Account Name
<input type="checkbox"/> <b>Individual</b>	Use full names	John Garry Smith
<input type="checkbox"/> <b>Joint</b>	Use full names	John Garry Smith + Mary Jane Smith
<input type="checkbox"/> <b>Company</b> (ACN required)	Use full company name and not abbreviations	A Company Pty Ltd
<input type="checkbox"/> <b>Registered Body</b> (ARBN required)	Use office bearer/s name/s	John Garry Smith <Australian Tennis Club <b>A/C</b> >
<input type="checkbox"/> <b>Superannuation Fund</b>	Use S/F to abbreviate for "Super Fund" and use name of trustee of fund, not name of fund	A Company Pty Ltd <A Company S/F <b>A/C</b> > or John Garry Smith <Smith Family S/F <b>A/C</b> >
<input type="checkbox"/> <b>Trust</b>	Use name/s of trustee/s or custodian/s and do not use the words "as trustee for" or "trust"	John Garry Smith + Mary Jane Smith <Smith Family <b>A/C</b> >
<input type="checkbox"/> <b>Minors</b>	Use name/s of custodian	Mary Jane Smith <David Smith <b>A/C</b> >

**2. ACN or ARBN: For company or registered body application only**

ACN:  ARBN:

**3. CHESS Registered Account Name:**

This CHESS registered account name and will be the name of your account and also the name in which your shares will be bought and sold. If you are transferring securities the Registered Account Name must be **EXACTLY** the same as on your last holding statement. If you intend to operate the account on behalf of a super fund, trust or minors, you must provide the name of the entity or person in the Account Designation field. For other account types this is optional.

Account Name:

Account Designation:  <  **A/C** >

**4. Account Holder 1 / Company Contact:**

Please provide the personal and contact details for an individual, trustee, custodian or company director. Account holder 2 is for joint name accounts only. Please note that if you are transferring securities, your postal address should be **EXACTLY** the same as your last holding statement.

Mr  Mrs  Ms  Other   Gender: Male  Female  Date of Birth:

Family Name:  Position Held/ Title:

Given Name:/s:  Occupation:

**5. Account Holder 2 / Company Contact:**

Mr  Mrs  Ms  Other   Gender: Male  Female  Date of Birth:

Family Name:  Position Held/ Title:

Given Name:/s:  Occupation:

**6. Residential Address or Registered Business Address: Australian & New Zealand address only, PO Box addresses cannot be accepted**

Please provide a residential address for individual or joint accounts. For company, super and trust accounts, please provide the registered address.

Address:

Suburb/City:  State:  Postcode:  Country:

**7. Postal Address (if different from above)**

Address:

Suburb/City:  State:  Postcode:  Country:

**8. Contact Details: Please provide at least one contact point, and  your preferred means of contact.**

A valid email address is essential for us to communicate with you electronically.

Email:   Phone Bus:

Phone Home:   Mobile:   Fax:

**9. Withdrawing Funds from your Bank Account (Bank Account):**

You must nominate a bank account into which we will credit any funds withdrawn from your ANZ Bank Account. This nominated bank account must be in the name of, or include the name of, the party shown in Q3. On request, cleared funds are remitted to this bank account as cheque withdrawals are not available.

Name of Bank:  Bank Address:

Account Holder Name:

BSB Number:  Account Number:

The BSB Number is a six-digit bank state branch number. If unsure of the BSB number please contact your bank. The bank account number has a maximum of nine digits. Credit card numbers cannot be accepted.

**10. Tax File Number**

You should include the Tax File Number (TFN) of each applicant signing. Or, in the case of a company, fund or trust account, that body's TFN. Collection of TFN's is authorised by the Australian Taxation Office. It is not compulsory to quote a TFN however if you choose not to, you will be charged withholding tax at the highest rate. Should you choose to provide a TFN, we will pass it on to E\*TRADE Australia, ANZ Bank and share registries with whom you hold securities.

TFN:  Or Exemption:  TFN:  Or Exemption:

**11. CHESS Sponsorship Transfer**

I/We am/are currently sponsored on CHESS by another broker or have issuer sponsored securities but would prefer to hold some or all of my/our securities with E\*TRADE Australia sponsorship. (Please read the E\*TRADE Australia Sponsorship Agreement and if you agree with the terms contained therein, complete this section.

**Broker Sponsored Holdings** (please attach a copy of your CHESS holding)

Name of Existing Sponsoring Broker:  HIN to be transferred:   
CHESS Registered Account Name:

Holding to be transferred: If "All holdings" is selected, your HIN will be transferred from your existing broker. If you nominate to transfer "selected holdings" your selection will be transferred to your HIN with ETRADE Australia Securities Ltd. Please note, this may require re-notification of DRP and Tax File Numbers.

**Please transfer**  all holdings  selected holdings

**If you wish to transfer selected holdings, please complete the details in the attached CHESS Sponsorship Transfer**

**12. ACKNOWLEDGEMENTS/DECLARATION**

- 1) I/We acknowledge having received, read and understood the Explanation of Chess Sponsorship Agreement and Privacy Statement
- 2) I/We have received, read and understood the E\*TRADE Australia CHESS Sponsorship Agreement and agree to be bound by its terms and conditions.
- 3) I/We enter into this agreement on the terms and conditions as updated from time to time or included within the account application package should it have been dispatched to me/us by mail. By signing this agreement I/we agree to be bound by these terms and conditions.
- 4) I/We authorise ETRADE Australia Securities Limited to open a Bank Account of which I/we are beneficiary/ies and ETRADE Australia Securities Limited is account holder and trustee , for the purpose of settling share transactions on the E\*TRADE Account.
- 5) I/We authorise E\*TRADE Australia to give effect to my instructions regarding execution and settlement of my/our securities transactions. I will be bound by all such instructions.
- 6) I/We acknowledge that E\*TRADE Australia may charge brokerage on trading in securities which may differ to the brokerage rates published by E\*TRADE Australia from time to time, and 20/20 Investment Services Pty Ltd may receive rebates for providing a referral service in relation to my/our securities transactions.

**13. SIGNATORY REQUIREMENTS**

Wealthtrac Account No.

Signature:	<input type="text"/>	Signature:	<input type="text"/>
Name:	<input type="text"/>	Name:	<input type="text"/>
Title (if company):	<input type="text"/>	Title (if company):	<input type="text"/>
	Date: <input type="text"/>		Date: <input type="text"/>

For joint applicants, please indicate signatory requirements for all written instructions: Either Applicant to sign  All applicants to sign

**14. OFFICE USE ONLY : DEALER DECLARATION**

**Execution of Sponsorship Agreement by ETRADE Australia Securities Ltd**

We have complied with the terms of the Trading Services Agreement with ETRADE Australia Securities Ltd and all relevant legislation

Executed at Level 1 10 Bridge Street Sydney NSW 2000.

Signature:

Name:

Date:

Signature:

Name:

Date: